

Continued

#### Session D: Ethics and public benefit in funding research

Projects funded by foundations and other philanthropic bodies must address ethical issues like any other research project, but often in a public benefit framework. This session investigates how ethical reviews of projects funded through philanthropy are undertaken. What ethical approaches, if any, should be taken in managing intellectual property from such projects? And how does open access contribute to public benefit?

- Chair** **Ingrid Wünnig**, Head of the Science and Research Department, Robert Bosch Stiftung
- Keynote speakers**
- Ethics in intellectual property rights management, a case study from a foundation – **Carlo Mango**, Director for Scientific Research and Technology Transfer, Fondazione Cariplo
  - Open access and public benefit, fostering knowledge sharing – **Bill Hubbard**, Manager, Sherpa
  - Ethical review of research projects in biomedical sciences – **Christophe Longuet**, Medical Director, Fondation Mérieux

**15:30 – 16:00** Coffee and networking break

**16:00 – 17:00** Concluding round-table

#### Philanthropy in the European Research Area

In May 2007, the European Commission published its vision for the future of research in Europe, characterised by a strong labour market for researchers, world-class research infrastructure and excellent research institutions, effective knowledge-sharing, well-coordinated research programmes and priorities, and international cooperation. This vision was detailed in the Green Paper: “the European Research Area: New perspectives”. How can foundations contribute to this objective, and what value can philanthropy add to the European Research Area?

- Moderator** **Liselotte Højgaard** – Chair, Standing Committee of the European Medical Research Councils
- Panellists**
- David Lynn** – Head of Strategic Planning and Policy, The Wellcome Trust
  - Thomas Estermann** – Senior Programme Manager, European University Association
  - Maren Jochimsen** – Secretary General, European Platform of Women Scientists

#### About the European Foundation Centre

The European Foundation Centre is an international association of foundations and corporate funders dedicated to creating an enabling legal and fiscal environment for foundations, documenting the foundation landscape, strengthening the infrastructure of the sector, and promoting collaboration, both among foundations and between foundations and other actors, to advance the public good in Europe and beyond.

#### European Foundation Centre, AISBL

51, rue de la Concorde | 1050 Brussels, Belgium  
t +32.2.512.8938 | f +32.2.512.3265 | efc@efc.be | www.efc.be

# The European Forum on Philanthropy and Research Funding

## Launch Conference

### PROGRAMME

Tuesday December 4th 2007 | Brussels



This conference is organised by the European Foundation Centre and the European Commission, DG Research

## Building Momentum for European Research

Europe has a long tradition of philanthropy stretching back centuries. Today European foundations and philanthropists continue to play a leading role in supporting research across Europe and worldwide.

This conference marks the launch of the European Forum on Philanthropy and Research Funding, an initiative led by the European Foundation Centre (EFC) with support from the European Commission and individual funders. The Forum aims to provide a platform for all stakeholders, to help underpin philanthropic funding for research. By means of working groups, peer learning events and other relevant activities, the Forum aims to promote and encourage the exchange of experience and best practice, developing cooperation on research funding, and promoting an environment which is more conducive to philanthropic support for research.

The launch of the Forum comes at a time when important developments are underway in Europe:

**In March 2007, the European Commission published its green paper (consultation document) on the future of the European Research Area.** What role can philanthropy play in this area?

**The European foundation sector is growing.** Out of the 3,300 foundations surveyed in Italy, almost half have been created post-1999. Over 40% of Germany's foundations have been set up in the past decade. Nearly half the foundations registered in Finland were established post-1980. And over 28% of all foundations in Belgium, Finland and France were created between 1999 and 2000.

**Philanthropy is increasingly seen as a source of support for university research.** In 2007, the Commission set up an independent expert group on "Fundraising by universities from philanthropy sources", whose conclusions and recommendations will be presented at the conference.

**The European Commission has just launched a feasibility study for a European Foundation Statute.** This would offer foundations, including university foundations, a useful tool to develop their activities across Europe free from several legal and fiscal constraints.

## Making the most of the event

This is your conference! The programme is designed to reflect the issues that European stakeholders identified as key during a consultation organised by the EFC and the Commission in 2006. They are:

- Philanthropic organisations' research funding policies
- Legal, regulatory and fiscal issues relating to donors and philanthropic organisations
- Governance and ethical issues relating to philanthropic support for research
- Fundraising by universities from philanthropic sources

These issues are also the focus of the Forum activities to be launched in 2008. So this conference is your chance to help the Forum Steering Group fine-tune the content and objectives of future activities.

The conference is an opportunity for philanthropic bodies, research institutes and universities to meet, share experiences on their practices, the hurdles they face, and learn more about the principles and regulations that govern how they operate.

Representatives from foundations, universities, governments, industry and other actors will be sharing their experiences and views on a wide range of topics linked to the role of philanthropy and foundations in supporting European research. The participants will address topics ranging from specific issues covering foundations' ethical approaches to managing intellectual property rights from projects they support, to wider regulatory and fiscal issues affecting donors and research funding.

## Background information

In September 2005, a European Commission Independent Expert Group issued a report on "Giving for research in Europe" which looked at foundations' and philanthropic organisations' role in funding research. Previously, relatively little attention was paid to philanthropy as a funding source. One of the report's recommendations was to create a forum to improve networking and cooperation between foundations and other European research stakeholders, the European Forum on Philanthropy and Research Funding.

In March 2006, a conference to follow up the report was held in Brussels to discuss further the issues raised. This was also the starting point for the consultation run by the EFC and Commission to gather stakeholders' views on which key issues the Forum should address. These are now in the topics of the conference's four parallel sessions.

The full expert group report, and proceedings from the March 2006 conference may be downloaded from: [http://ec.europa.eu/invest-in-research/policy/philanthropy\\_en.htm](http://ec.europa.eu/invest-in-research/policy/philanthropy_en.htm)

## Forum Programme

**09:00 – 09:30** Registration and coffee

**09:30 – 11:00** Opening plenary session

**Chair** **Wilhelm Krull**, Chair, European Foundation Centre  
**Keynote speakers** A perspective from the European Commission – **Janez Potočnik**, European Commissioner for Science & Research  
Research and universities: a unique role for philanthropy – **Harriet Wallberg-Henriksson**, President, Karolinska Institutet  
Bringing stakeholders together: the Forum – **Pier Mario Vello**, Secretary General, Fondazione Cariplo

**11:00 – 11:30** Coffee and networking break

**11:30 – 13:00** Parallel sessions

### Session A: Funding policies of philanthropic bodies – evaluating research outcomes and impact

How can philanthropic organisations assess the outcome and impact of projects they support? How are they evaluated and how can boards and other decision-makers use the results? This session aims to provide the answers. It will look at different evaluation methods, their limits, and how to capitalise on them. Evaluating research outcomes and impact is key to helping funders identify opportunities to develop their research. A case study will be presented, demonstrating the importance of evaluation and how a foundation evaluates its projects.

**Chair Speakers** **João Caraça**, Head of the Science Department, Fundação Calouste Gulbenkian  
• Evaluation in motion, a case study from a foundation – **Anne-Marie Engel**, Assistant Director of Research, Lundbeckfonden  
• Which tools are available? – **Mats Rolén**, Research Director, Stiftelsen Riksbankens Jubileumsfond  
• Understanding evaluations and evaluation outcomes – **Jonathan Grant**, President, RAND Europe

### Session B: Fundraising for research by universities from philanthropic sources

Can philanthropy be a source of university funding? European higher education institutions are increasingly trying to get the maximum benefit from philanthropic funding. How are they doing? This session presents the conclusions of the European Commission Expert Group on Fundraising by Universities from Philanthropic Sources, to which European university representatives will react, based on their own experiences. A debate follows between speakers and the audience on the situation in Europe on university fund-raising from philanthropic sources and interaction between universities and private donors.

**Moderator Speakers** **Joanna Motion**, Vice President, Council for Advancement and Support of Education  
Developing philanthropy as a source of funding for university research, findings and recommendations from an independent expert group – **Bill Wakeham**, Vice-Chancellor, University of Southampton  
**Discussants** • **Ignacio Sesma** – Director, Fundación Universidad Carlos III  
• **Simon Sommer** – Programme Officer, Jacobs Foundation  
• **Theo Schuyt** – Chair, Netherlands Task Force on Giving for Research, University of Amsterdam

**13:00 – 14:00** Lunch

**14:00 – 15:30** Parallel sessions (continued)

### Session C: Regulatory and fiscal issues affecting donors and research funding

National fiscal and regulatory incentives encourage private donors to give. What can be done to promote giving for research? This session looks at the recently-published recommendations by the Netherlands Task Force on Giving to Research, and how VAT affects the support philanthropic organisations can give universities and research institutes. It also examines paths towards tax-effective cross-border giving in the EU.

**Chair Speakers** **Jan Krzysztof Frąckowiak**, Director, Polish Science Contact Agency of the Polish Academy of Sciences  
• VAT: a hurdle for research funding? – **Eleanor Boddington**, Senior Solicitor, The Wellcome Trust  
• Recommendations from the Netherlands Task Force on Giving to Research – **Sabine de Wijckerslooth-Lhoëst**, Senior Tax Manager, Ernst and Young  
• Towards tax-effective cross-border giving: A look at recent EU developments – **Representative from the European Commission (tbc)**